

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C08F 2/48, C23C 14/28, 14/30, H05B 6/00, H05H 1/02, 1/24</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 97/22631</b> <b>(43) International Publication Date:</b> 26 June 1997 (26.06.97)
<b>(21) International Application Number:</b> PCT/US96/20267 <b>(22) International Filing Date:</b> 18 December 1996 (18.12.96)  <b>(30) Priority Data:</b> 08/575,161 19 December 1995 (19.12.95) US  <b>(71) Applicant:</b> TALISON RESEARCH [US/US]; Suite 210, 1250 Oakmead Parkway, Sunnyvale, CA 94086-4027 (US).  <b>(72) Inventors:</b> KOLLURI, Omprakash, S.; 2305 Cervantes Way, Campbell, CA 95008 (US). JOHANSON, Robert, G.; 517 Kenilworth Court, Sunnyvale, CA 94087 (US).  <b>(74) Agent:</b> FRANCIS, Ralph, C.; Francis Law Group, Suite 901, 1901 Harrison Street, Oakland, CA 94612 (US).		<b>(81) Designated States:</b> CA, JP, MX, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> PLASMA DEPOSITED FILM NETWORKS  <b>(57) Abstract</b> <p>A three-dimensional functional film network comprising a plurality of radio frequency discharge plasma film layers. The plasma film layers include a first layer, comprising a plurality of a first functional group, and a second layer, comprising a plurality of a second functional group. The employment of three-dimensional film networks with desired functional groups located either on the periphery or both the periphery and interstitial spaces of the networks significantly increases the surface functional density.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

## PLASMA DEPOSITED FILM NETWORKS

### BACKGROUND OF THE INVENTION

#### 5 Field of the Invention

The present invention relates to functional film networks, and in particular to sequentially deposited radio frequency plasma film layers having an open network structure, thereby increasing interstitial spacing between plasma film layers and providing access to functional groups contained therein.

#### 10 Previous Art:

The surfaces of polymeric, metal and ceramic materials are important in many applications. Often these surfaces must be modified for a specific use. For example, surfaces of medical devices implanted in the body must have biocompatible surfaces.

Different methods are generally employed to modify the surfaces of polymers, 15 as opposed to metal and ceramic surfaces. Several conventional methods of surface modification employ wet chemical processes. Most recently developed are energetic methods of surface modification. Each of these methods for each type of material is discussed below.

Wet chemical surface modification of metals and ceramics is accomplished 20 either by forming composites where the metals and ceramics are blended with matrix resin, or by coating these substances with organic coatings.

A typical wet chemical approach of surface modification of polymeric materials employs acids to etch and oxidize the surface. Other approaches employ solvent swelling and penetration of topical coatings into the swollen surfaces. Upon 25 evaporation of the solvent, the coating is incorporated into the top layer of the polymeric article.

There are many problems associated with use of solvents and other wet chemical methods for modifying surfaces. For example, the use of wet chemical methods to modify surfaces can take several steps to accomplish. The chemicals used 30 are often messy, corrosive and toxic to both humans and the environment. There are

methods to modify surfaces can take several steps to accomplish. The chemicals used are often messy, corrosive and toxic to both humans and the environment. There are often many steps, such as the application reaction, rinsing, and neutralization. It is not easy to change steps if sequentially applying several chemicals. Not all surface areas  
5 of the material to be modified are accessible to the wet chemicals, such as blind vias and other hidden surfaces. The monomers used must be reactive. Yields are low and solvents can leave residues on the surface leading to contamination of the surface. Additionally, some wet chemical methods can also damage the surface that one is attempting to modify.

10 Surface composition of polymeric materials is commonly modified by blending additives into the bulk polymer before fabrication and allowing surface active agents to migrate to the surface. The end groups of the polymer chain can also be modified with specific functional groups. Changes to the bulk of the polymer are thus minimized. The added mobility of the end groups relative to the polymer  
15 backbone appear to facilitate self-assembly of the molecular overlayers by the surface active end blocks.

A major drawback of this method of surface modification is that there is a limit to the chemical functional density that can be incorporated without significantly altering the basic nature of the material.

20 Energetic processes (*i.e.*, plasma) for surface modification of polymeric materials have also been gaining acceptance in a number of industries. In plasma modification, the bulk properties of the original polymer are retained while chemically changing only the top 20 Å of the surface. Polymers such as polypropylene, polystyrene, polyester, Teflon® and other commercially available polymers have been  
25 modified using this method. For example, a polystyrene material that normally does not contain nitrogen can be modified using ammonia gas ionized in a radio frequency (RF) field. This method commonly employs a vacuum chamber, means for introducing a reactive gas such as oxygen, ammonia or nitrous oxide into the chamber and RF energy as tools in the modification process.

In plasma surface modification, the gas employed for modifying the surface of the polymer is introduced into the vacuum chamber containing the surface to be modified. The gas is ionized using RF energy and this ionized gas interacts with the surface of the material. Ionized gases contain a mixture of highly reactive chemical species that include free radicals, electrons, ions and metastable reactive species. These species easily break the chemical bonds on the surface of polymeric materials and substitute the desired chemical groups on the surface of the material. In this manner carbonyl, carboxylic acid, hydroxy, and amine functional groups have been incorporated into and hence become a part of polymeric surfaces.

10 The design of the reaction chamber, the distribution of power, the excitation frequency, and the gas dynamics are critical factors influencing the properties and efficiency of plasma reactions. Extensive work has been published that shows a direct correlation between excitation frequency and plasma reactivity.

Unlike polymeric materials, metals and ceramics do not contain bonds that can be easily broken. Plasma film deposition offers a means for modifying the surfaces of such materials. In this process monomers consisting of polyatomic molecules are typically ionized using RF energy.

Using plasma polymerization (or plasma film deposition), functional groups can be incorporated into or deposited on any surface, including polymers, metals, ceramics and composites. The films deposited using plasma polymerization are compositionally very different from the polymers formed in bulk processes of polymeric materials using these same monomers. Materials such as methane, propane, and other saturated hydrocarbons are commonly employed to deposit plasma polymerized films on metals and ceramics. Additionally, the film can be comprised of amines, acids, methacrylates, glycidyls or mixtures such as methane and amine, or methane and acid.

When depositing functional films on surfaces using plasma film deposition, the functional density in most cases is limited to that achieved by a monolayer. For example, 11 atom % nitrogen in films deposited from diaminocyclohexane on polystyrene was reported in *Clinical Materials* 11 (1992). This concentration equates

to a concentration of 1 nmoles/cm<sup>2</sup> of primary amines on the surface or a coverage of one monolayer of amine on the surface.

The difficulty with most single monolayers of functional density is that there are a limited number of chemically reactive sites that are available for interaction with the desired coating material such as a biomolecule or the matrix resin of a composite. When the number of functional groups available on the surface of a substrate is limited, the benefits that can be achieved are also limited. In the case of composites, the number of locations where the matrix resin is bonded to the reinforcing materials is limited and the ultimate strength of the composite material is also limited. In the case of biomolecule attachment, lower functional densities decrease the amount of these materials that can be anchored on the surface. Often attachment of more than one biomolecule is desired to facilitate multiple performance attributes. In these cases the amount of any given material that can be attached is decreased and may be below the minimum threshold needed for the desired performance.

Plasma polymerized films have also been deposited using acrylic acid which produces films with a high density of functional groups. The density is achieved by building a linear polymer of acrylic acid on the surface. Additionally, soft plasma or pulse plasma has been employed with variable duty cycle to preserve the functional groups of films during deposition using plasma polymerization. In addition to only leaving a single monomer layer deposited, these methods also depend on building long linear chains anchored to the surface to generate the high functional densities that are desired.

Further, in plasma deposition, the energy per mole of monomer determines the number of bonds broken. At high power and low monomer concentration (hard plasma) more of the bonds are broken and less of the functional character is retained.

It is known that the power applied, the frequency of the pulse, and the duty cycle can all be varied to preserve the functional nature of the deposited film. Indeed, it has been found that by using high power coupled with a low duty cycle, a higher portion of the functional nature of the deposited film is maintained. A major drawback of these methods is that the films that are deposited are mechanically weak

and can be easily abraded away. Furthermore, during plasma film deposition, there are two competing processes that occur. One is the deposition of the film and the other is the ablation of the film being deposited. The degree to which one process predominates is a function of both the process conditions being employed and the chemical nature of the film being deposited. In an attempt to build sufficient functional density on the surface using plasma polymerization, there is also an inherent risk that some of the film being deposited will be ablated away due to the process conditions that need to be employed.

Even if sufficiently long chains of reactive groups could be deposited, the groups at the lower regions of the film may not be as easily accessible for interactions with coating materials as is desired. For example, in films deposited from allylamine, it has been found that a primary amine concentration on the surface is not as high as would be expected from the nitrogen content of the surface measured by ESCA. It has been concluded that perhaps some of these functional groups were buried and not accessible on the surface for reaction with the derivatizing reagents used in their analysis.

Finally, star polymers have been created employing wet chemical methods. For example, the synthesis of star polymers have been reported after reacting multifunctional isocyanates with glycols.

In U. S. Patent Nos. 4,507,466; 4,588,120; 4,568,737; 4,587,329; and 4,694,064, herein incorporated by reference, Tomalia, *et al.* disclose synthesis of giant star polymers commonly referred to as "dendrimers". In the noted patents, sequential reactions of methylacrylate and ethylenediamine are achieved employing methanol as a solvent. Star polymers offer several advantages, namely, a network structure that provides physical strength and the ability to provide high chemical functional densities.

There are several problems associated with star polymers. First, the conventional method of building molecules from the core produces only small quantities of star polymers and requires several days to accomplish. Second, large scale synthetic methods remain to be developed.

Additionally, in order for star polymers (and dendrimers) to be useful in modifying surfaces of materials, star polymers must be anchored to reactive sites on surfaces using reactive cores as attachment points. This type of anchoring has many problems. For example, it is difficult to attach dendrimers to surfaces because the  
5 anchoring point of the core is located in the center of the star. Thus, anchoring can only occur through a reactive group on the periphery of the dendrimer. Even in these cases the substrate to which the dendrimer is attached must be modified by some means to allow attachment.

Steric hindrance of the star also limits the amount of dendrimers that can be  
10 attached to a surface. Additionally, it is easy to break this single attachment.

In biomedical applications, for example, a stent or other object placed in the body, the medical devices must have the biomedically active agent fixed to and completely cover the surface. Dendrimers provide space between each attachment, leaving substrate surface areas exposed to body fluids.

15 Most plasma processing techniques employ the deposition of functional groups on the surface as the end point of their process rather than as an intermediate link in an ultimate structure. Therefore, practitioners employ conventional materials such as oxygen, ammonia and other such materials to deposit functional groups on the surface. For example, in U.S. Patent No. 5,342,693, herein incorporated by reference,  
20 a glassy film is deposited using siloxanes ionized in a plasma. Using the methods of plasma surface modification, ammonia is then used to provide amine functional groups on the surface. Other materials are subsequently attached to this functional group using wet chemical methods.

Therefore, what is needed is (i) a sequentially deposited film network  
25 comprising several RF plasma layers and having a strong interface, (ii) a method to provide high functional density film networks with controllable amounts of crosslinking for accessible functional groups, and (iii) means for providing large scale RF plasma deposition that can be accomplished in a relatively short time without employing wet chemical methods.

30



### **SUMMARY OF THE INVENTION**

The present invention substantially reduces or overcomes all of the problems associated with the prior art. The invention provides a novel three-dimensional functional film network and a rapid process for producing same. The employment of  
5 three dimensional film networks with the desired functional groups located either on the periphery or both the periphery and interstitial spaces of the networks of the invention offers a means for significantly increasing the surface functional density in a novel manner. The spatial configuration of the network, and thereby access to the internal structure of the network, is controlled by selecting which functional groups  
10 are sequentially deposited. The novel process of the invention employs sequential radio frequency (RF) deposition, thereby allowing for large scale synthesis. Additionally, no wet chemistry is employed, thereby decreasing production time from days to minutes.

The present invention provides a "forest" or a "mushroom" with many  
15 functional groups on the periphery. The approach has not been previously achieved using plasma deposition and it is not readily obvious or feasible.

In the present invention, sequential deposition is coupled with an infinite variation in the type and functionality of the monomers employed to determine the ultimate structure of the film that is deposited. These variables are employed in  
20 addition to the variation of process conditions to control film structure.

Accordingly, it is an object of this invention to provide a functional film network comprising a plurality of sequentially deposited RF plasma layers.

It is also an object of this invention to provide high functional density film networks with controllable amounts of crosslinking and interstitial spacing, providing  
25 access to the functional groups contained therein.

It is also an object of this invention to provide means for large scale depositions that can be accomplished in a relatively short time.

In accordance with the above objects and those that will be mentioned and will become apparent below, the three-dimensional functional film network in accordance  
30 with this invention comprises a plurality of radio frequency discharge plasma film

layers. The plasma film layers include a first layer and a second layer disposed immediately adjacent said first layer. The first layer includes a plurality of a first functional group and the second layer includes a plurality of a second functional group.

- 5           An advantage to this invention is that it provides a film network structure having increased interstitial spacing with reactive functional groups disposed within the network structure. These reactive sites may act as ionic binding sites for securing biomolecules within the networks.

### **DETAILED DESCRIPTION OF THE INVENTION**

- 10           The plasma polymerization technique of the present invention offers a unique method for building functional network structures. In general, a layer of one class of monomers is alternated with a layer of another class of monomers.

          The specific monomer selected depends on the type of functional surface that is desired. In some cases, a mixture of gases is employed to obtain the desired surface  
15           chemistry.

          The class of monomers selected dictate the type and density of the network that is developed. Examples of functional groups that can be incorporated in the network structure of the present invention include, but are not limited to, epoxy (oxiranyl), amino, carboxy, hydroxy, isocyanato, amido and sulfhydryl groups.

- 20           Monomers sources of epoxy or oxiranyl functional groups include, but are not limited to, allyl glycidyl ether, glycidyl methacrylate, glycidyl isopropylether, glycidyl butyrate, 3-glycidoxypropyltrimethoxysilane and mixtures thereof.

          Monomer sources of alcohol functional groups include, but are not limited to oxygen; water; saturated alcohols such as methyl alcohol, ethyl alcohol, propyl  
25           alcohol and its isomers, butyl alcohols and its isomers and saturated alcohols and aryl alcohols such as benzyl alcohol; unsaturated alcohols such as allyl alcohol, vinyl alcohol, 2-hydroxyethyl acrylate, 2-hydroxyethyl methacrylate and other similar compounds; glycols and ethers such as ethylene glycol, propylene glycol, tetrahydrofuran, diethylene glycol dimethyl ether, tetraethylene glycol dimethacrylate

and triethylene glycol dimethyl ether; mixtures of the above compounds; and mixtures of a hydrocarbon such as methane or ethylene and the classes of compounds named herein.

Monomer sources of isocyanate functional groups include, but are not limited to, allyl isocyanate, toluene-2,4-diisocyanate, 1,4-diisocyanatobutane, ethyl isocyanate, hexamethylene diisocyanate, toluene-2,6-diisocyanate and mixtures thereof.

Monomer sources of triazine functional groups include, but are not limited to, acrylonitrile, 2,4-diamino-6-methyl-1,3,5-triazine, 1-trimethylsilyl-1,2,4-triazole and mixtures thereof.

Monomer sources for amine functional groups include, but are not limited to, unsaturated amines such as allylamine and vinyl amine; primary amines such as methylamine, butyl amine, propylamine, hydroxyethyl amine and other alkyl amines; alkane diamines such as ethylenediamine, 1,3 diaminopropane, 1,4 diamino butane, 1,5 diamino pentane, 1,6 diamino hexane, 1,7 diamino heptane, 1,8 diamino octane; polyalkylene polyamines such as diethylenetriamine, dipropylene triamine, dibutylenetriamine, triethylenetetramine, tripropylenetetramine, tributylenetetramine, N, N'-bis(2-aminoethyl)-1,3-propanediamine, bis(3-aminopropyl)amine; aminosilanes such as 3-Aminopropyltrimethoxysilane, 3-Aminopropyltriethoxysilane, 3-Aminopropylmethyldiethoxysilane, 3-(3-Aminophenoxy)propyltrimethoxysilane, 3-(2-Aminoethylamino)propyltrimethoxysilane, hexamethyldisilazane, and other similar compounds; heterocyclic amines such as ethylene amine, piperidines, pyrroles and pyrrolidines; aromatic amines such as aniline; and various other amines and amino compounds such as mercaptoethylamine, acrylonitrile, butyronitrile, and 1,4 diaminocyclohexane; mixtures of the above compounds; and mixtures of a hydrocarbon such as methane or ethylene and the classes of amino compounds named herein.

Monomer sources for carboxylic acid functional groups include, but are not limited to, oxygen, carbon dioxide and compounds such as acetic acid, propionic acid, butyric acid, 2-methyl propionic acid, n-pentanoic acid, 4-methyl butanoic acid,

n-hexanoic acid; unsaturated acids such as acrylic acid, methacrylic acid, 2-butenic acid, and cinnamic acid; mixtures of the above; and mixtures of a hydrocarbon such as methane or ethylene and the classes of compounds named herein.

Monomer sources for sulfhydryl groups include, but are not limited to,  
5 compounds such as 3-sulfhydryl propene, hydrogen sulfide, 2-sulfhydrylethene and mixtures thereof.

Monomer sources for amido functional groups include compounds such as acrylamide and N,N-dimethylacetylamine. Additionally, amido groups can be formed by neutralizing terminal amine with an acid or a terminal carboxylic acid function  
10 with an amine.

Other monomer types that can be used in constructing the network structure irrespective of their ability to contribute a functional group within or on the periphery of the network structure include, but are not limited to, compounds such as allyl acetate, allyl methacrylate, ethyl acrylate, methyl acrylate, methyl methacrylate, ethyl  
15 methacrylate, butyl acrylate, tert-butyl acrylate, butyl methacrylate, cyclohexyl methacrylate, ethylhexyl acrylate, 2-ethylhexyl methacrylate. If multifunctional acrylates such as ethylene glycol dimethacrylate are used, these monomers will provide additional sources of branching besides the amines.

Although specific compounds that can be used to form the desired functional  
20 groups in the network of the present invention have been named, it is to be understood that any materials that can be introduced in an RF plasma reaction chamber, either as a vapor or an aerosol mist, that can be subsequently ionized by the application of RF energy, and that belong to the family of compounds named herein, are effective sources of such functional groups.

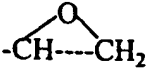
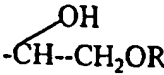
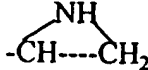

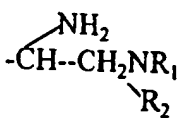
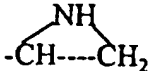
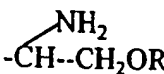
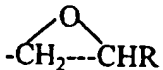
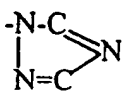
25 Table I lists reactive monomer pairs that can be employed within the scope of this invention to initiate the extended chains of the functional film networks. For example, a plasma film layer having a functional group selected from the first column will build a new layer in the film network if reacted with a plasma film layer having its functional group pair in the second column. In reaction No. 1, a previous layer

having amine is reacted with monomers that will deposit ethylene functional groups, producing two network branches in the new layer of the network.

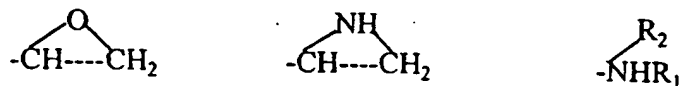
TABLE I

Reactive Pairs that Initiate Extended Chains

	Surface or Chain-End Function	Reacts With	To Produce
1.	$-\text{NH}_2$	$\text{CH}_2=\text{CHX}$	$-\text{N} \begin{cases} \text{CH}_2\text{CH}_2\text{X} \\ \text{CH}_2\text{CH}_2\text{X} \end{cases}$
2.	$-\text{NH}_2$	$\text{O}=\text{C} \begin{cases} \text{R}_1 \\ \text{R}_2 \end{cases}$	$-\text{N}=\text{C} \begin{cases} \text{R}_1 \\ \text{R}_2 \end{cases}$
3.	$-\text{COOH}$	$\text{NH}_2\text{R}$	$-\text{CONHR}$
4.	$-\text{COOH}$	$\text{NHR}_1 \begin{smallmatrix} \diagup \\ \text{R}_2 \end{smallmatrix}$	$-\text{CO}-\text{N} \begin{smallmatrix} \diagup \text{R}_1 \\ \diagdown \text{R}_2 \end{smallmatrix}$
5.	$-\text{COOH}$	$\text{NH}_2(\text{CH}_2)_n\text{NH}_2$	$-\text{CONH}(\text{CH}_2)_n\text{NH}_2$
6.	$-\text{COOR}$	$\text{NH}_2\text{R}$	$-\text{CONHR}$
7.	$-\text{COOR}$	$\text{NHR}_1 \begin{smallmatrix} \diagup \\ \text{R}_2 \end{smallmatrix}$	$-\text{CO}-\text{N} \begin{smallmatrix} \diagup \text{R}_1 \\ \diagdown \text{R}_2 \end{smallmatrix}$
8.	$-\text{COOR}$	$\text{NH}_2(\text{CH}_2)_n\text{NH}_2$	$-\text{CONH}(\text{CH}_2)_n\text{NH}_2$
9.	$-\text{CHO}$	$\text{NH}_2\text{R}$	$-\text{CH}=\text{NR}$
10.	$-\text{NCO}$	$\text{NH}_3$	$-\text{NHCONH}_2$
11.	$-\text{NCO}$	$\text{NH}_2\text{R}$	$-\text{NHCONHR}$
12.	$-\text{NCO}$	$\text{NHR}_1 \begin{smallmatrix} \diagup \\ \text{R}_2 \end{smallmatrix}$	$-\text{NHCO}-\text{N} \begin{smallmatrix} \diagup \text{R}_1 \\ \diagdown \text{R}_2 \end{smallmatrix}$
13.	$\begin{array}{c} \text{O} \\ \diagup \quad \diagdown \\ -\text{CH} \cdots \text{CH}_2 \end{array}$	$\text{RNH}_2$	$\begin{array}{c} \text{OH} \\ \diagup \\ -\text{CH} \cdots \text{CH}_2\text{NHR} \end{array}$
14.	$\begin{array}{c} \text{O} \\ \diagup \quad \diagdown \\ -\text{CH} \cdots \text{CH}_2 \end{array}$	$\text{NHR}_1 \begin{smallmatrix} \diagup \\ \text{R}_2 \end{smallmatrix}$	$\begin{array}{c} \text{OH} \\ \diagup \\ -\text{CH} \cdots \text{CH}_2\text{NR}_1 \\ \quad \quad \quad \diagdown \text{R}_2 \end{array}$

- |     |   |   |   |
|-----|---|---|---|
| 15. |  | ROH   |  |
| 16. |  |  |  |
| 17. |  | ROH   |  |
| 18. | -OH   |   |   |
| 19. | -OH   | RSO <sub>2</sub> Cl   | -OSO <sub>2</sub> R   |
| 20. |  |   |   |
| 21. | -SH   |   |   |

wherein X is -COOH, -COOR, -OH, -NH<sub>2</sub>, -NH<sub>2</sub>R, -NCO,



5 and R, R<sub>1</sub> and R<sub>2</sub> represent aliphatic or aromatic hydrocarbons that can be introduced in an RF plasma reaction chamber, either as a vapor or an aerosol mist that can be subsequently ionized by the application of RF energy.

Additionally, the R, R<sub>1</sub> and R<sub>2</sub> groups may contain additional functional groups to allow further branching.

10 The reaction illustrated in line 5 of Table 1 changes a terminal -COOH group to a terminal -NH<sub>2</sub> group, with a variable chain extension length (n). Reaction No. 8 changes a terminal -COOR group to a terminal -NH<sub>2</sub> group, with a variable chain extension length (n). The reaction of line 19 is employed as a wet chemical step prior to affixing biomaterial. In reaction No. 20, the triazine source is acrylonitrile.

According to a preferred embodiment of the invention, the construction of the film network occurs as follows: an initial plasma polymerized film layer is first deposited on the substrate. This initial layer can be chosen from the class of compounds such as ammonia, unsaturated amines, primary amines, aliphatic  
5 diamines, polyalkylene polyamines, heterocyclic amines, nitriles, pyrroles, pyrrolidines, aminosilanes and mixtures thereof such that an amine functional group is formed on the surface. The initial layer may also comprise oxygen, water, carbon dioxide, and mixtures of a hydrocarbon and the above referenced compounds. The second plasma deposited layer is applied using the class of compounds consisting of;  
10 (i) saturated carboxylic acids such as acetic acid, propionic acid, butyric acid, 2-methyl propionic acid, n-pentanoic acid, 4-methyl butanoic acid, n-hexanoic acid, and unsaturated carboxylic acids such as acrylic acid, methacrylic acid and similar unsaturated acids; or (ii) esters such as methyl acrylate, methylmethacrylate, glycidyl methacrylate and similar class of compounds; or (iii) keto esters such as carbonyl-bis-  
15 3,3'-methyl propionate and similar compounds; or (iv) oxygen and carbon dioxide (v) mixtures of hydrocarbons and the class of compounds named in groups (i) through (iv). The second layer can also be constructed by using monomers that consist of a mixture of compounds chosen from groups (i) and (ii). Of the three classes of compounds mentioned, it is preferred that the monomer for the second layer  
20 be chosen from the class of compounds described in groups (i) and (ii).

The plasma deposited film network can also be initiated by depositing a film using the monomers from the class of compounds consisting of; (i) saturated carboxylic acids such as acetic acid, propionic acid, butyric acid, 2-methyl propionic acid, n-pentanoic acid, 4-methyl butanoic acid, n-hexanoic acid, and unsaturated  
25 carboxylic acids such as acrylic acid, methacrylic acid, and other similar materials; or (ii) esters such as methyl acrylate, methyl methacrylate, glycidyl methacrylate and other similar materials; or (iii) oxygen and carbon dioxide; or (iv) mixtures of hydrocarbons and the class of compounds named in groups (i) through (iii). This first layer can also be formed from a mixture of monomers described in groups (i), (ii) and  
30 (iv) or the mixtures described in group (v). The second layer of plasma polymerized

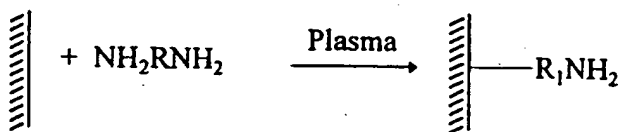
film is then deposited from the group consisting ammonia, unsaturated amines, primary amines, aliphatic diamines, polyalkylene polyamines, heterocyclic amines, nitriles, pyrroles, pyrrolidines, aminosilanes and mixtures thereof or mixtures of hydrocarbons and the class of amino compounds named herein, as described above.

5 Employing one combination of materials as an example, Steps 1-5 below illustrate the step by step growth of the functional film network on polystyrene according to the invention. The process also illustrates how the overall network structure is achieved. The sequential deposition process allows for evaluation of the functional character at each step. The employment of difunctional amines such as  
10 ethylene diamine (See Formula 1) or 1,6 hexanediamine with allylic or aliphatic acids, such as acrylic acid, will yield a network according to final Steps 4 and 5, as illustrated in Formulas 7 and 8 below.

The objective of Step 1 below, is to provide a set of reactive sites for branching. Any monomer from the first column of Table I can be employed in the  
15 first step. In the method shown, an amine having an R group is plasma polymerized, producing many amine functional groups on the polymeric surface for the next level of branching. The R group of the amine is generally broken, leaving R<sub>1</sub> and R<sub>2</sub> groups as part of the functional groups attached to the surface, or left unattached in the reaction chamber.

20

## STEP 1



Formula 1

Formula 2

25

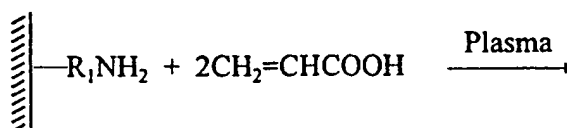
In Step 2 below, a matching pair is selected from the second column of Table I. During plasma deposition the matching pair will now attach to an amine functional group previously attached to the surface during Step 1. In Step 2 below, an acrylic



acid is shown. The two hydrogen bonds on each amine are easily broken. The process can be adjusted so that there is more than one carboxylic acid deposited. For example, if pulse plasma is employed as illustrated in Step 2 below, two carboxylic acid units will attach at the nitrogen, creating two branches.

5

## STEP 2

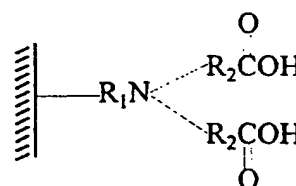


10

Formula 2

Formula 3

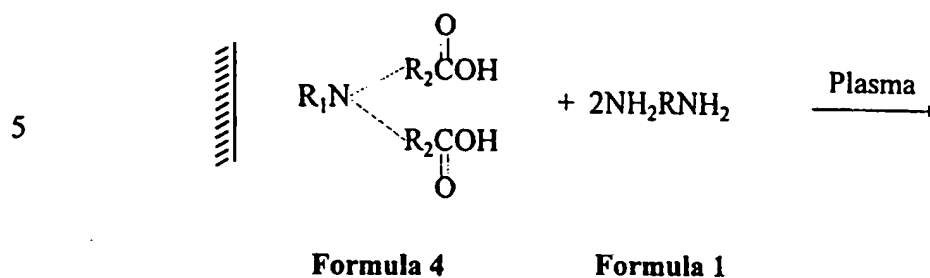
15



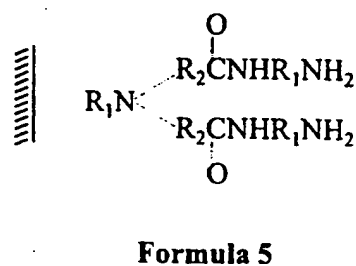
Formula 4

The purpose of Step 3 is to provide another point for branching. For example, as illustrated below, an ethylene diamine plasma is again employed. Reacting these amines with the carboxylic acid functional groups deposited in Step 2 provides amides. At the other end of each amide is an amine which provides another opportunity to provide two branches.

## STEP 3



10



15            Steps 1-3 provide the first generation of the film network. This first layer has a strong interface with the surface, as opposed to other networks formed from long, linear branches and star polymers being attached at the cores to a surface. The strong interface of the present invention covers all of the surface and is not ablated during additional layer depositions. Additionally, there is no problem of steric hindrance

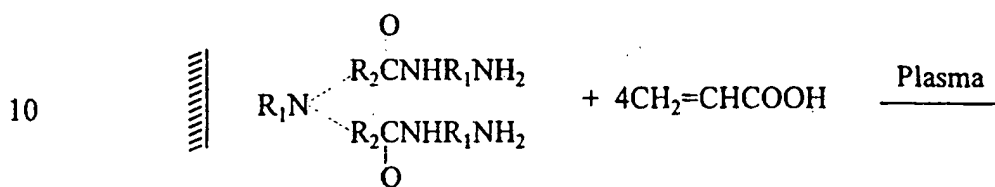
20    when attaching additional functional groups.

          When using the monomers illustrated in Steps 1-3, the surface layer will have many functional amines. Branching is not accomplished when amines are deposited. However, when carboxylic acids are deposited onto amine functional groups, branching is possible.

25            As illustrated in Steps 4 and 5 below, the sequential deposition method of the invention is employed to produce a second generation film network. In Step 4, the

two hydrogen bonds on each amine are again easily broken, as previously shown in Step 2. The process can be adjusted so that there is more than one carboxylic acid deposited. For example, if pulse plasma is employed as illustrated in Step 4 below, two more carboxylic acid units will attach at the nitrogen, providing four branches for each amine functional group attached to the surface.

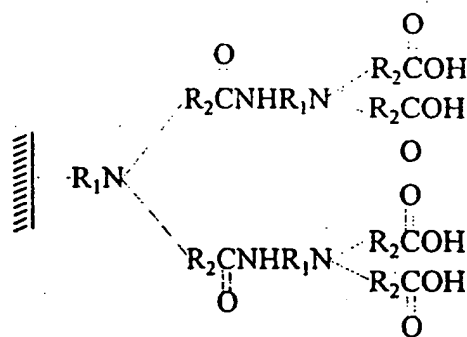
## STEP 4



Formula 5

Formula 3

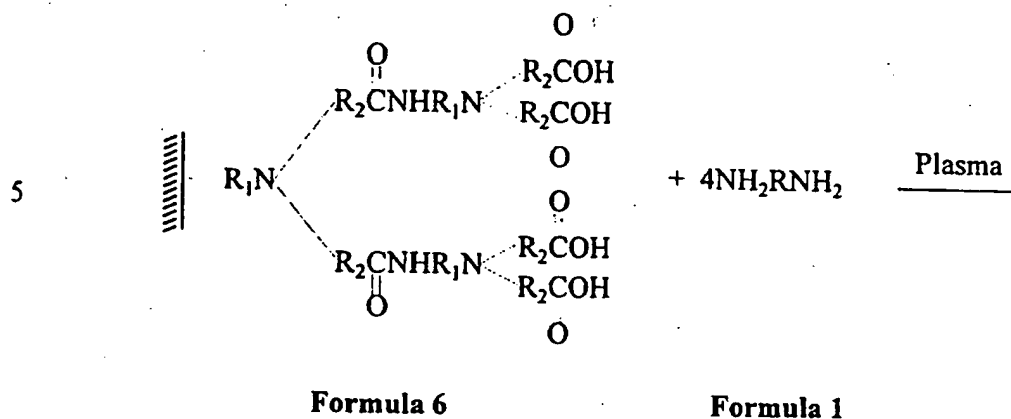
15



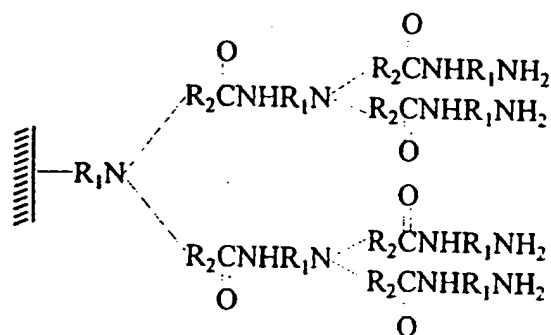
20

Formula 6

## STEP 5



10



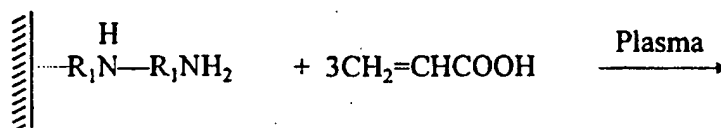
15

The process of sequential deposition can be continued through several iterations until the desired final network structure is achieved. The process is terminated only when the desired thickness of the film network has been deposited on the substrate of choice and the target chemical functional group density has been incorporated into the network.

A structure beginning with a trifunctional amine on the surface is illustrated below in Formulas 8-11. By using a tetrafunctional functional monomer such as triethylenetetramine,  $\text{NH}_2\text{CH}_2\text{CH}_2\text{NHCH}_2\text{--CH}_2\text{NHCH}_2\text{CH}_2\text{NH}_2$ , cleavage of the

molecule can occur in a plasma at the location shown by the dotted line. In a manner analogous to deposition from a diamine, and as shown below, a surface with three attachment points can be obtained, one at the secondary amine and two at the primary amine site.

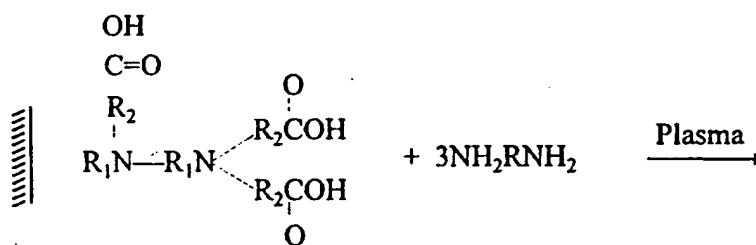
5



Formula 8

Formula 3

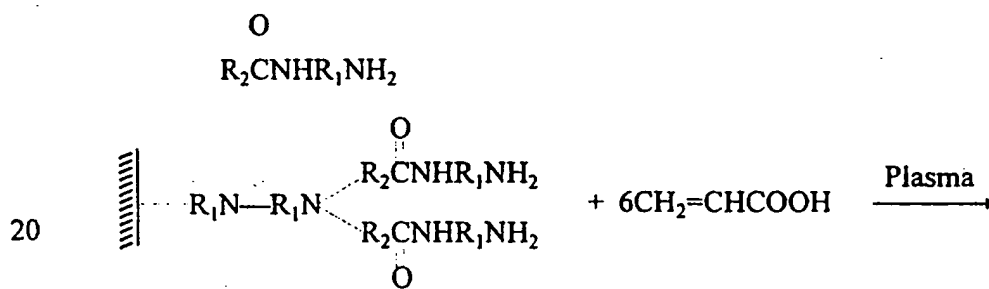
10



Formula 9

Formula 1

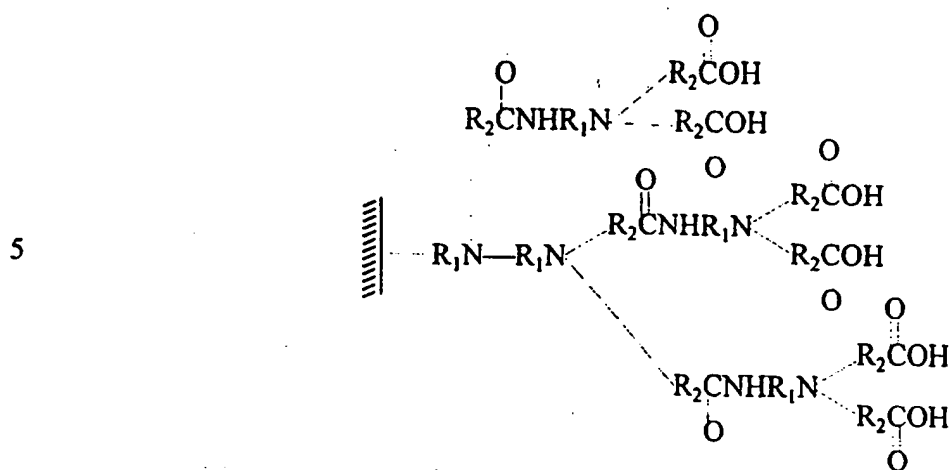
15



20

Formula 10

Formula 3

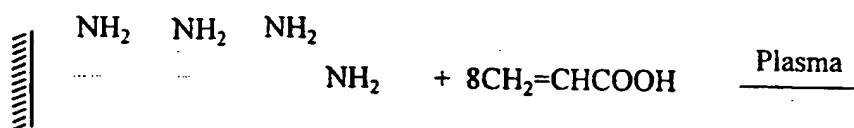


Formula 11

10

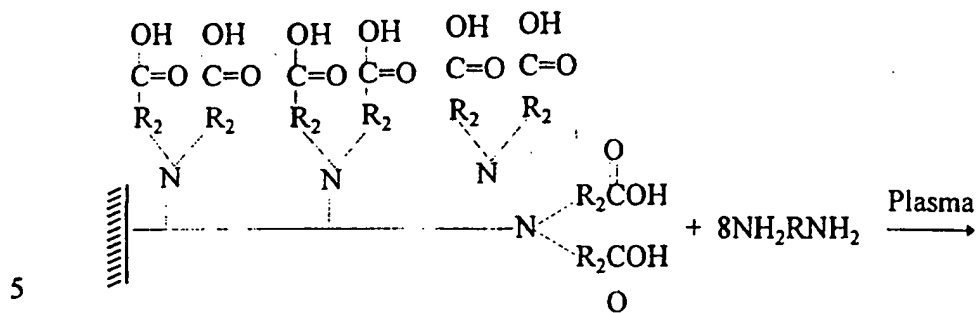
A structure with linear amine chain as the starting group is shown in Formulas 12-15 below. When a monomer such as allylamine is employed as the starting monomer, a pulse plasma can be employed to build a linear chain consisting of several amine groups, each of which can act as a branch site.

15



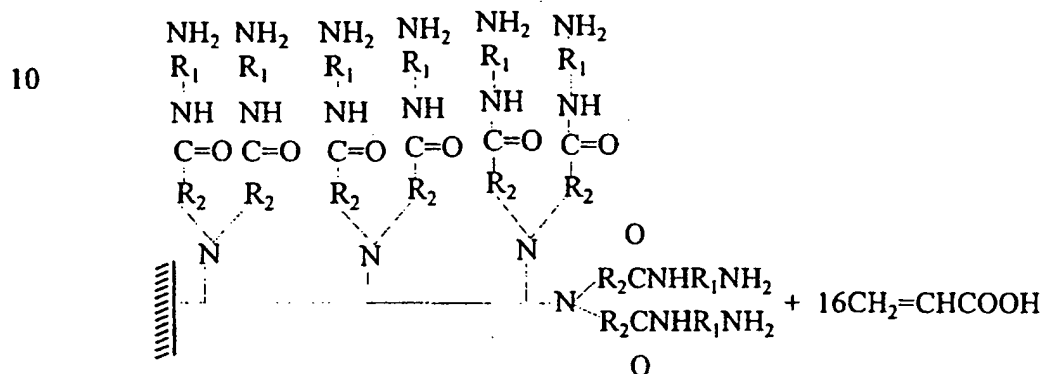
Formula 12

Formula 3



Formula 13

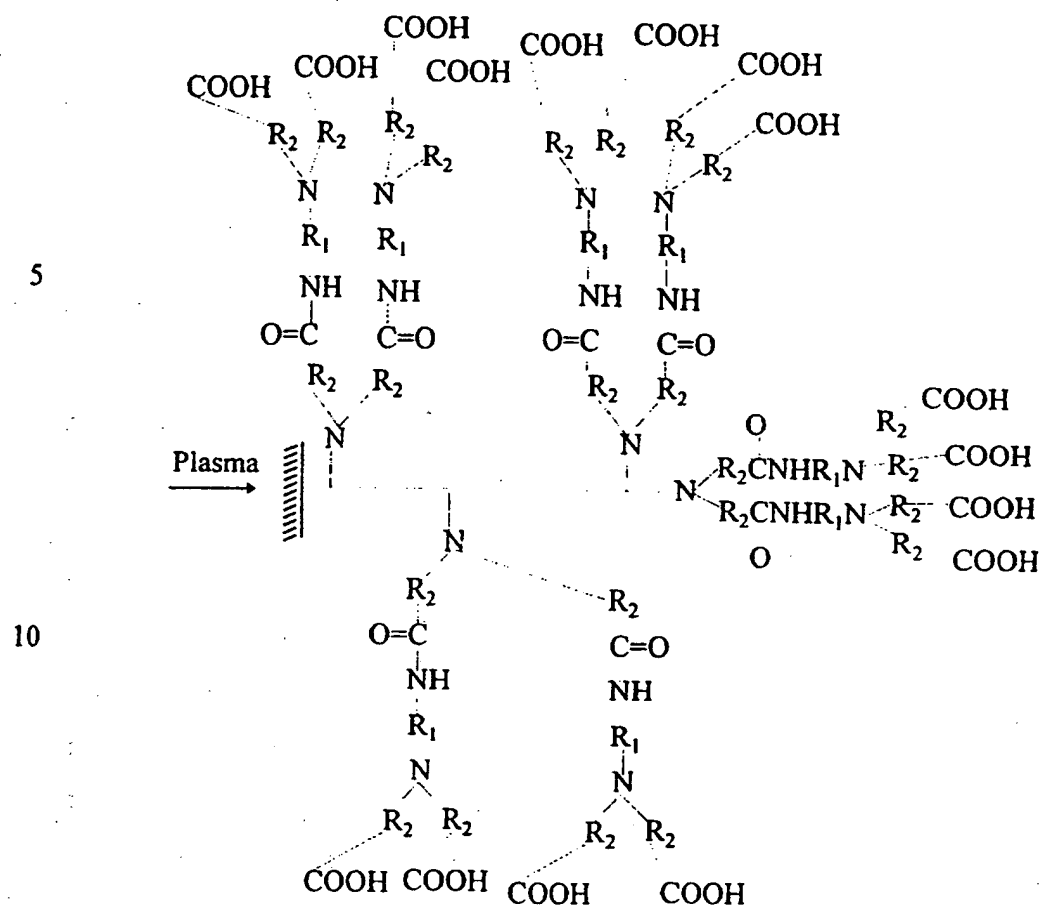
Formula 1



15

Formula 14

Formula 3



Formula 15

When acrylonitrile is employed as the monomer, a triazine structure can also be deposited (not shown). Acrylonitrile offers additional opportunities for forming highly branched networks of the present invention since a triazine structure offers more than two attachment points for branching when this structure is anchored on the surface.

By using various combinations of functionalities of the monomers employed, the density of the network structure can be controlled. For example, in the process defined by Formulas 1-7, in Step 1, an amine monomer may be employed.

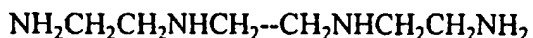


In Step 2, an acid monomer may be employed such as acrylic acid, methacrylic acid, propionic acid, and hexanoic acid. Another class of monomers that can be employed within the scope of the invention, as illustrated in Step 2, are the acrylates. Monomers of this class include but are not limited to methyl acrylate and methylmethacrylate. The hydrocarbon end of the acid or acrylate is substituted for each hydrogen on the amine to form an amide.

The applicants have found that monomers with higher numbers of carbons in their backbone will result in a network structure having a loose network, thereby increasing interstitial spacing between plasma film layers, while those with shorter carbon chains will result in tighter networks.

Additionally, the applicants have found that when using monomers with more than two functional groups, a much higher level of branching can be obtained thereby controlling the network structure. The following examples according to the invention illustrate the employment of different functional densities and different backbone chain lengths to provide a network structure having a loose network, thereby increasing interstitial spacing between plasma film layers as compared to other films for providing access to the functional groups contained therein.

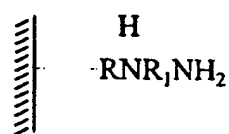
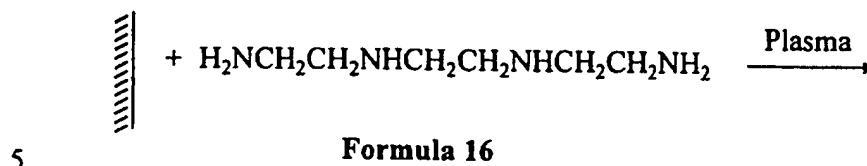
The film network construction can, as an example, be started with a deposition using triethylenetriamine as the monomer. This monomer can be cleaved at the centrally located  $\text{CH}_2 - \text{CH}_2$  bond shown as a dotted line in Formula 16 below.



Formula 16

The substrate surface resulting from a plasma deposition using triethylenetriamine is shown below in Formula 8.

## STEP 1

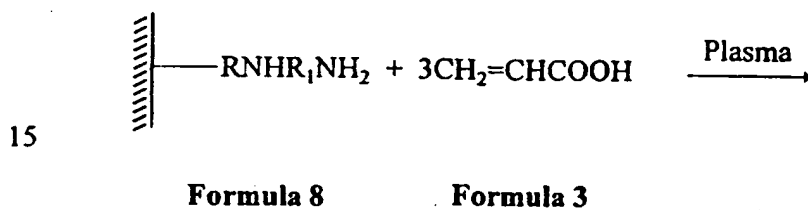


Formula 8

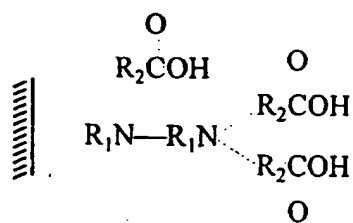
10

The next layer is then added in Step 2 as follows:

## STEP 2



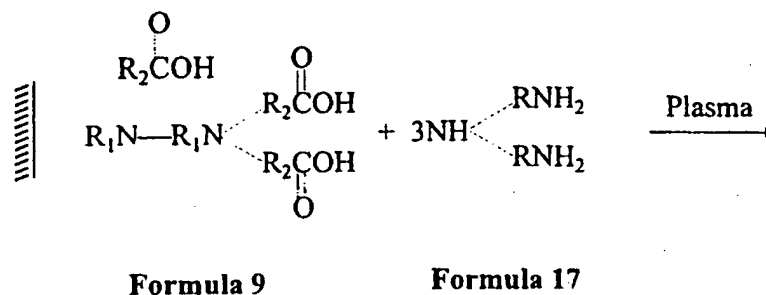
20



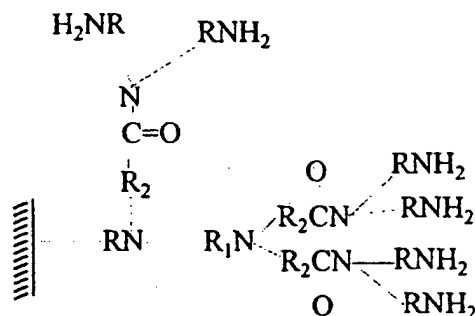
Formula 9

At this stage several options are available. Formula 9 can be reacted with Formula 1 to yield Formula 10 or Formula 9 could be reacted with a trifunctional amine, such as diethylenetriamine, represented by Formula 17 below, to yield Formula 18.

5



10



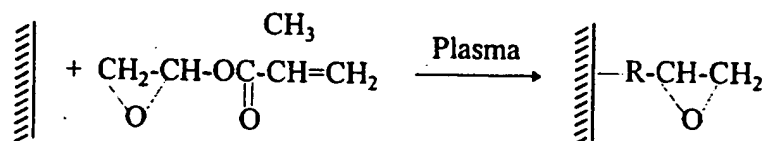
15

**Formula 18**

The chemical functional group density of Formula 18 is much different than the chemical functional group density of Formula 10, which was also derived from Formula 9. Thus by mixing and matching the reactive functionality ("monofunctional," "difunctional," "trifunctional" etc.) of the monomer employed, plasma deposited film networks with different morphologies and densities can be provided. Although multifunctional amines and acrylic acid have been employed to illustrate the construction of the plasma deposited film network, it will be apparent to those skilled in the art that the starting film can be constructed from any of the monomers described earlier and combined with the appropriate reactive pair shown in the second column of Table I.

For example, glycidyl methacrylate, Formula 19, could be employed in the first deposition step of the network construction process to yield a surface with the epoxy reactive group, Formula 20, (often referred to as the oxirane group). This epoxide group can now be reacted with an amine, for example, Formula 1, and as suggested in Table I to yield Formula 23 below. Use of a keto ester illustrated by

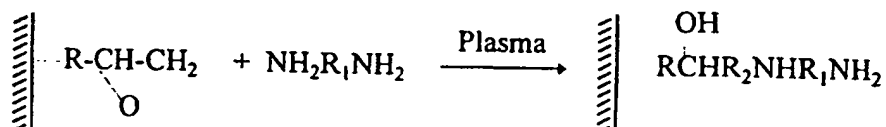
5 Formula 22 is another source of branching.



10

Formula 19

Formula 20

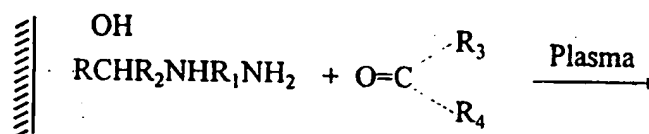


15

Formula 20

Formula 1

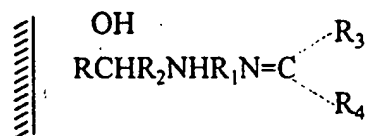
Formula 21



20

Formula 21

Formula 22



Formula 23

5

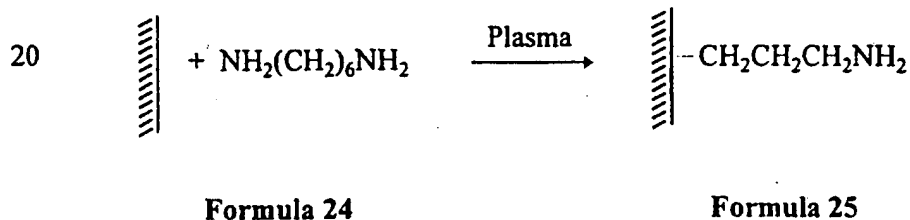
$R_3$  and  $R_4$  can be any aliphatic or aromatic groups, aliphatic groups being preferred.  $R_3$  and  $R_4$  can include a reactive chemical functional group and these sites can be employed to continue to build the film network. Thus the construction of the plasma deposited film network can be accomplished by using the appropriate reactive

10 pairs described in Table I without limitation.

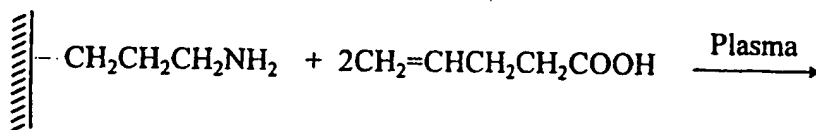
When a network having a open network (i.e., increased interstitial spacing between plasma film layers) is desired, monomers can be chosen such that the central chain can be represented by the notation  $(\text{CH}_2)_n$  where "n" is sufficiently large. As illustrated below, when the value of six (6) is chosen for "n" in the amine, represented

15 by Formula 24, and a value of two (2) is chosen for "n" in the allylic acid monomer, Formula 26, allyl acetic acid, Formula 28 in Step 2, results.

## STEP 1



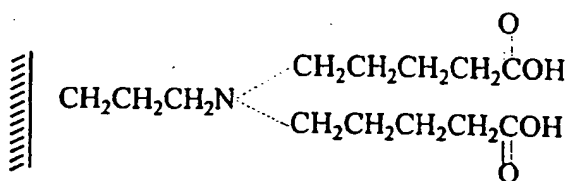
## STEP 2



Formula 25

Formula 26

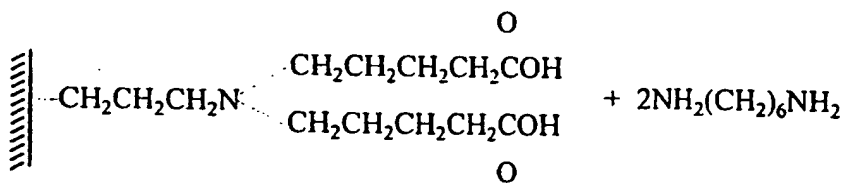
5



Formula 27

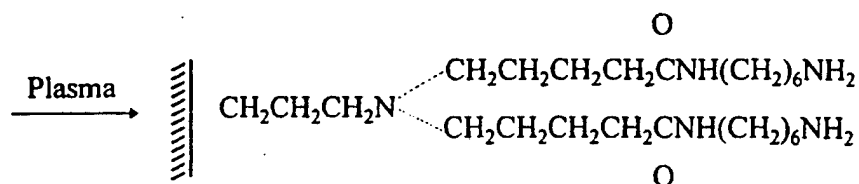
10

## Step 3

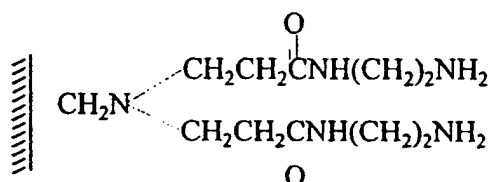


Formula 27

Formula 24

**Formula 28**

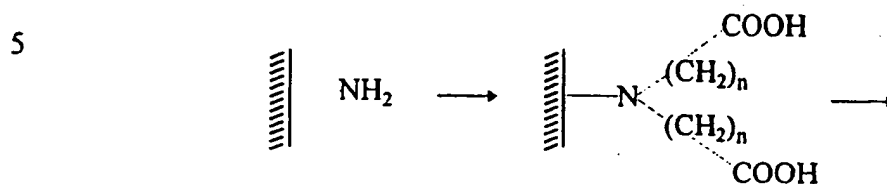
- 5           As the structure shown in Formula 28 illustrates, the film network of the present invention has a loose network, thereby increasing interstitial spacing between plasma film layers as compared to the crosslink density and interstitial spacing obtained when ethylene diamine and acrylic acid are reacted using the same three steps illustrated by Formula 29. It will be apparent to those skilled in the art that by
- 10   using monomers with different central chain lengths and different reactive functionalities, the morphology and the chemical group functionality of the plasma deposited film network can be adjusted in many ways.



15

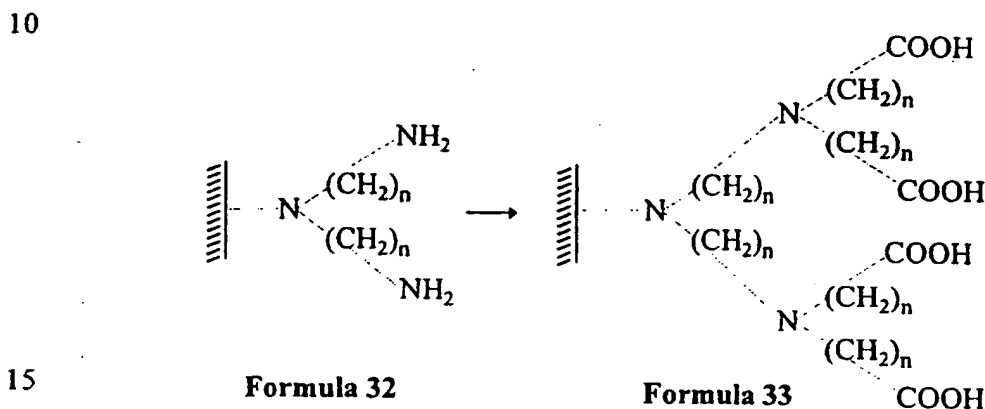
**Formula 29**

In the conventional wet chemical methods employed for building star polymers, the growth of the structure occurs in a geometric fashion as illustrated in the following chemical process:



Formula 30

Formula 31



Formula 32

Formula 33

Generally, conventional star polymers cannot be manufactured in high volumes by the method shown in Formulas 30-33 above. Additionally, attachment of these materials to surfaces is a laborious process. However, using the method of the present invention, the surface of any material can have a highly branched film network covalently bonded to the surface within minutes.

Additionally, using the plasma film deposition technique of the present invention, the growth rate of the network can be controlled so that it is something other than strictly geometric. For example, if in the second deposition step previously described in Formulas 3 and 4, and in more detail in Example 1 below, the acrylic

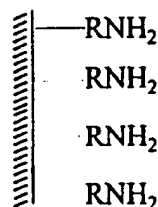
25



5

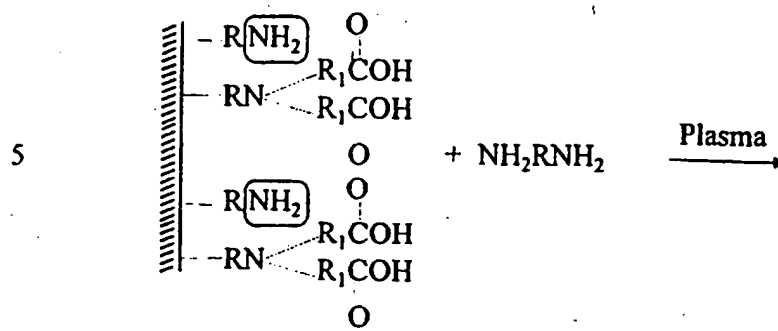
10

15

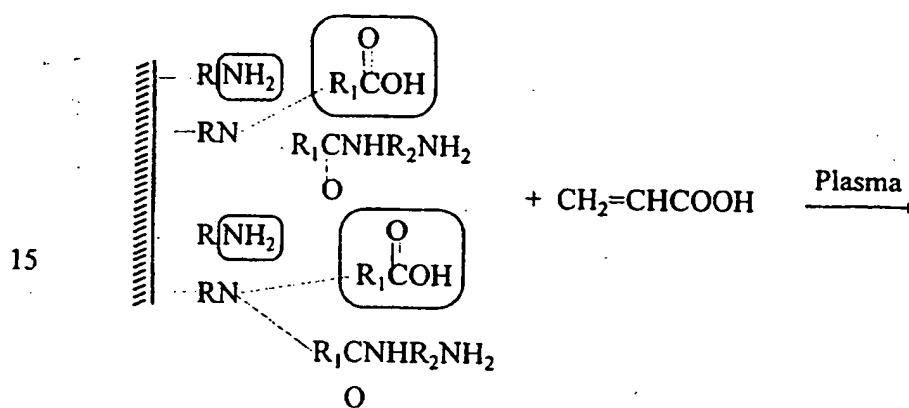


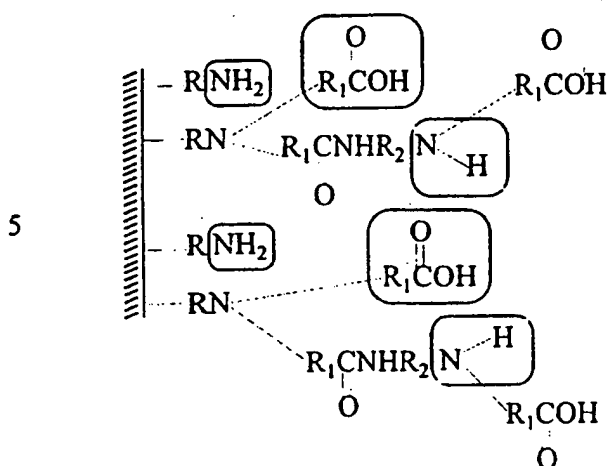
### Formula 3

### Formula 35



10

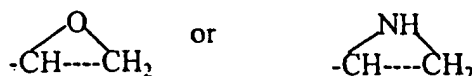




Formula 37

10

Another method for achieving a plasma deposited film network with chemical functional group in the interstices of the film can be illustrated by the following process scheme. In this case monomers with the epoxy functional group such as



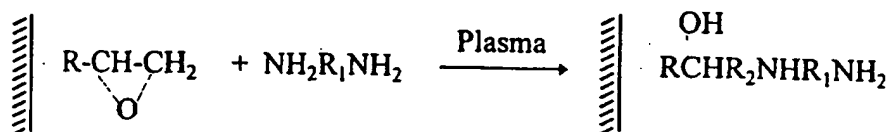
15

are employed. Once the three-membered ring is opened in the second step, during deposition of an amine, the epoxy group leaves behind a chemical functional group. For example, as previously shown in Formula 19, glycidyl methacrylate could be employed in the first deposition step of the network construction process to yield a surface with the epoxy reactive group, Formula 20, (often referred to as the oxirane group). As shown in Formulas 20-39 below, this surface with the epoxy reactive group, Formula 20, can now be reacted with an amine, for example, Formula 1, and as suggested in Table I to yield Formula 21 below. As Formula 21 illustrates, we are left with a hydroxyl group near the surface and an amine as a terminal group. Formula 21

20

is also reacted with Formula 19, glycidyl methacrylate, to yield Formula 38, a surface with two epoxy reactive groups. This can again be reacted with an amine to yield, Formula 39, a surface with a plasma deposited film network having functional groups within the interstices of the film layers.

5

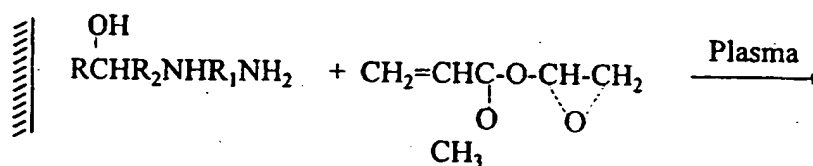


10

Formula 20

Formula 1

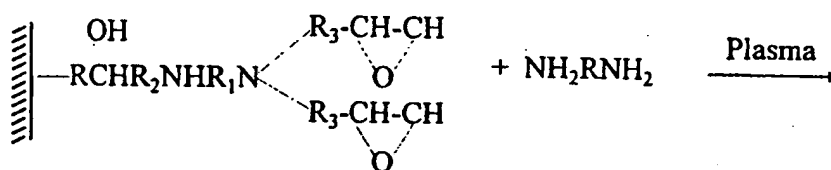
Formula 21



Formula 21

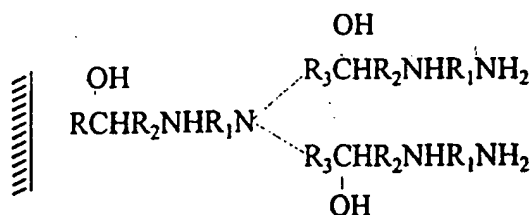
Formula 19

15



Formula 38

Formula 1



Formula 39

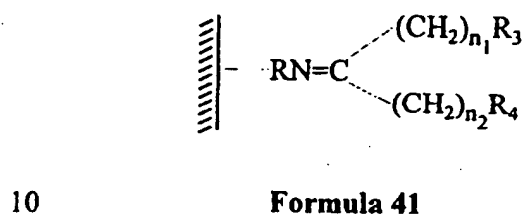
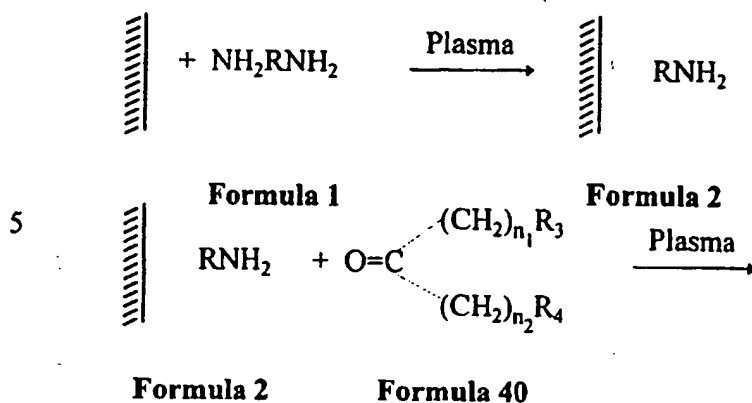
5

In this manner hydroxy chemical groups can be incorporated in the interstices of the plasma deposited film network while the peripheral chemical groups can be of an entirely different category, such as an amine, by the choice of the monomer employed in the termination step of the deposition process.

10

Another method for creating chemical functional groups in the interstices of the plasma deposited film network would follow the scheme illustrated in Formulas 1-41 below. In this process, the network construction is initiated with the deposition of an amine, Formula 1, which is then reacted with a ketone, Formula 40, where the ketone group is located such that the chemical groups on either side of the ketone group are of differing length and are terminated with a chemical functional group.

15 When this ketone is now reacted with another monomer (not shown), the longer arm will react more easily, whereas the shorter arm may become protected by steric hindrance, thereby remaining intact within the film structure.



wherein  $n_2 \gg n_1$ .

It is clear from these descriptions that by choosing the particular monomer/process step combinations that are appropriate for the particular goal in mind, a vast array of structural morphologies, chemical group densities, and location of chemical functional groups can be achieved in the plasma deposited film networks described.

The following detailed example illustrates a method of depositing a three-dimensional functional film network according to the invention.

**Example 1:**

20 A 4.0 liter plasma reaction chamber with internal electrodes driven by a 200 watt RF generator operating at 13.56 Mhz is employed. The reaction chamber is connected to an Alcatel 2020 CP vacuum pump with a pumping capacity of 16 cfm.

A manual throttle valve was employed to control the reaction chamber pressure independent of the monomer flow.

Step 1: Plasma polymerization of ethylene diamine.

Ethylene diamine is fed to the reaction chamber by evaporating ethylene diamine contained in an Erlenmeyer flask that is maintained at 30°C. The plasma polymerization is conducted at a power setting of 90 watts and a reaction chamber pressure of 420 mTorr. The flow and therefore the residence time of the monomer in the reaction chamber is controlled by the throttle valve. The throttle valve is adjusted so that the actual pressure in the reaction chamber is 480 mTorr. The process time is 3 minutes. These films are deposited on 120µm polystyrene beads. Chemical analysis using a ninhydrin test for primary amines shows a concentration of 1.1 µmole/gm. The surface area of these beads is 476 cm<sup>2</sup>/gm. The amine concentration measured equates to a surface concentration of 4 nmoles/cm<sup>2</sup>. This surface density is equivalent to a monolayer of functional groups on the surface.

Step 2: Plasma polymerization of acrylic acid.

In this step, an acrylic acid plasma polymerized film is deposited on top of the amine film deposited in Step 1. The acrylic acid is fed to the reactor by bubbling helium through the monomer contained in an Erlenmeyer flask. The power is set at 100 watts, the helium flow rate is 15 cc/min and the pressure is 500 mTorr. The acrylic acid is maintained in a water bath whose temperature is controlled to 45° C. The flow and therefore the residence time of the monomer in the reaction chamber is controlled by the throttle valve. The throttle valve is adjusted so that the actual pressure in the reaction chamber is 580 mTorr. The plasma is pulsed at 10 Hz and a 10% duty cycle is employed. Deposition of acrylic acid on untreated 180 µm polystyrene beads under these conditions and a process time of 4 minutes results in a functional density of 2.1 µmol acid groups/gm. This functional density translates to 6.8 nmoles/cm<sup>2</sup>. Since we already have approximately 2.3 nmoles/cm<sup>2</sup> of amines on the surface and each amine group can add two acrylic acid groups, the process time for this step is 3 minutes. It is assumed that the functional density previously

determined is retained in Step 2. Since each amine group can accommodate two acrylic acid groups, Step 2 will incorporate 2.2  $\mu$ moles/gm acid groups on the surface.

Step 3: Plasma polymerization of ethylene diamine.

5 A plasma polymerized film of ethylene diamine is deposited using the same conditions described in Step 1. Step 3 deposits one amine functional group at each of the acid functional sites deposited in Step 2. This results in a final amine concentration of 2.2  $\mu$ moles/gm of amine functional group. Chemical analysis using a ninhydrin test for primary amines shows a concentration of 2.8  $\mu$ moles/gm of amine functional groups on the surface.

10 While the foregoing detailed description has described several combinations of sequential deposition of particular classes of monomers for a three-dimensional functional film network in accordance with this invention, it is to be understood that the above description is illustrative only and not limiting of the disclosed invention. Particularly included is a device and method in accordance with this invention that  
15 produces a functional film network having a loose network, thereby increasing interstitial spacing between plasma film layers as compared to other films. The network according to the invention has unique conducting properties in that it allows access to functional groups within the interstices of the network. It will be appreciated that various methods to produce various compounds fall within the scope  
20 and spirit of this invention.



**CLAIMS**

What is claimed is:

1. A three dimensional film network, comprising:  
a plurality of radio frequency discharge plasma film layers, said  
5 plasma film layers including a first layer and a second layer disposed immediately  
adjacent said first layer;  
said first layer including a plurality of a first functional group;  
and  
said second layer including a plurality of a second functional  
10 group.
2. The three dimensional film network according to Claim 1 including  
interstitial spaces disposed within said network which provides access to said film  
layers.
3. The three dimensional film network according to Claim 1 wherein, said  
15 first and said second layers are at least partially covalently bonded.
4. The three dimensional film network according to Claim 1 wherein, said  
first functional group comprises an amine functional group.
5. The three dimensional film network according to Claim 1 wherein, said  
second functional group is selected from the group consisting of carboxy, carboxylic  
20 ester, epoxy, isocyanate, hydroxy and sulfhydryl.
6. The three dimensional film network according to Claim 1 wherein, said  
first functional group is selected from the group consisting of carboxy, carboxylic  
ester, epoxy, amine, isocyanate, hydroxy and sulfhydryl.
7. The three dimensional film network according to Claim 1 wherein, said  
25 second functional group is selected from the group consisting of carboxy, carboxylic  
ester, epoxy, amine, isocyanate, hydroxy and sulfhydryl.
8. The three dimensional film network according to Claim 7 wherein, said  
second functional group is reactive with said first functional group.

9. The three dimensional film network according to Claim 1 wherein, said film network includes a dual branched spatial configuration between said adjacent film layers.

10. The three dimensional film network according to Claim 1 wherein, said  
5 three dimensional network includes a triple branched spatial configuration between said adjacent film layers.

11. The three dimensional film network according to Claim 1 wherein, said three dimensional film network includes a quadruple branched spatial configuration between said adjacent film layers.

12. The three dimensional film network according to Claim 1 wherein, said  
10 three dimensional film network includes a heterocyclic ring spatial configuration between said adjacent film layers.

13. The three dimensional film network according to Claim 1 wherein, said three dimensional film network includes a linear chain spatial configuration between  
15 said adjacent film layers.

14. The three dimensional film network according to Claim 13 wherein, said linear chain includes a plurality of a third functional group selected from the group consisting of carboxy, carboxylic ester, epoxy, amine, isocyanate, hydroxy and sulfhydryl.

15. A three dimensional film network, comprising:  
a plurality of radio frequency discharge plasma film layers, said  
plurality of plasma film layers including alternating pairs of a first layer and a second layer disposed immediately adjacent said first layer;

said first layer including a plurality of a first functional group;

25 and

said second layer including a plurality of a second functional group.

16. The three dimensional film network according to Claim 15 including interstitial spaces disposed within said network providing access to said film layers.

30

17. The three dimensional film network according to Claim 15 wherein, said first and said second layers are at least partially covalently bonded.

18. The three dimensional film network according to Claim 15 wherein, said first functional group comprises an amine functional group.

5 19. The three dimensional film network according to Claim 15 wherein, said second functional group is selected from the group consisting of carboxy, carboxylic ester, epoxy, isocyanate, hydroxy and sulfhydryl.

20. The three dimensional film network according to Claim 15 wherein, said first functional group is selected from the group consisting of carboxy, carboxylic ester, epoxy, amine, isocyanate, hydroxy and sulfhydryl.

21. The three dimensional film network according to Claim 15 wherein, said second functional group is selected from the group consisting of carboxy, carboxylic ester, epoxy, amine, isocyanate, hydroxy and sulfhydryl.

22. The three dimensional film network according to Claim 21 wherein, 15 said second functional group is reactive with said first functional group.

23. The three dimensional film network according to Claim 15 wherein, said film network includes a dual branched spatial configuration between said adjacent film layers.

24. The three dimensional film network according to Claim 15 wherein, 20 said three dimensional network includes a triple branched spatial configuration between said adjacent film layers.

25. The three dimensional film network according to Claim 15 wherein, said three dimensional film network includes a quadruple branched spatial configuration between said adjacent film layers.

25 26. The three dimensional film network according to Claim 15 wherein, said three dimensional film network includes a heterocyclic ring spatial configuration between said adjacent film layers.

27. The three dimensional film network according to Claim 15 wherein, said three dimensional film network includes a linear chain spatial configuration between said adjacent film layers.

28. The three dimensional film network according to Claim 27 wherein,  
5 said linear chain includes a plurality of a third functional group selected from the group consisting of carboxy, carboxylic ester, epoxy, amine, isocyanate and hydroxy.

29. A substrate structure, comprising:

a substrate having a surface thereon; and

a plurality of radio frequency discharge plasma film layers  
10 sequentially deposited on said substrate surface, said plasma film layers including a first layer and a second layer disposed immediately adjacent said first layer, said first layer including a plurality of a first functional group, said second layer including a plurality of a second functional group.

30. The substrate structure according to Claim 29 including interstitial  
15 spaces disposed within said film layers.

31. The substrate structure according to Claim 29 wherein, said first and said second layers are at least partially covalently bonded.

32. The substrate structure according to Claim 29 wherein, said first functional group comprises an amine functional group.

20 33. The substrate structure according to Claim 29 wherein, said second functional group is selected from the group consisting of carboxy, carboxylic ester, epoxy, isocyanate, hydroxy and sulfhydryl.

34. The substrate structure according to Claim 29 wherein, said first functional group is selected from the group consisting of carboxy, carboxylic ester,  
25 epoxy, amine, isocyanate, hydroxy and sulfhydryl.

35. The substrate structure according to Claim 29 wherein, said second functional group is selected from the group consisting of carboxy, carboxylic ester, epoxy, amine, isocyanate, hydroxy and sulfhydryl.

36. The substrate structure according to Claim 35 wherein, said second functional group is reactive with said first functional group.

37. The substrate structure according to Claim 29 wherein, said substrate structure includes a dual branched spatial configuration between said adjacent film  
5 layers.

38. The substrate structure according to Claim 29 wherein, said substrate structure includes a triple branched spatial configuration between said adjacent film layers.

39. The substrate structure according to Claim 29 wherein, said substrate  
10 structure includes a quadruple branched spatial configuration between said adjacent film layers.

40. The substrate structure according to Claim 29 wherein, said substrate structure includes a heterocyclic ring spatial configuration between said adjacent film layers.

41. The substrate structure according to Claim 29 wherein, said substrate  
15 structure includes a linear chain spatial configuration between said adjacent film layers.

42. The substrate structure according to Claim 41 wherein, said linear chain includes a plurality of a third functional group selected from the group  
20 consisting of carboxy, carboxylic ester, epoxy, amine, isocyanate, hydroxy and sulfhydryl.

43. A method for sequentially depositing a three-dimensional functional film network on a substrate, comprising the steps:

positioning a substrate having a surface thereon in a radio  
25 frequency plasma discharge apparatus;

inserting into said radio frequency plasma discharge apparatus a first plasma medium, said first plasma medium comprising a first compound selected from the group consisting of ammonia, unsaturated amine, primary amine, aliphatic diamine, polyalkylene polyamine, aminosilane, heterocyclic amine, nitrile, pyrrole,

pyrrolidine, saturated carboxylic acid, unsaturated carboxylic acid, carboxylic ester, keto ester and mixtures thereof;

subjecting said first plasma medium to a first radio frequency electric field whereby a first plasma film layer is deposited on said substrate surface,  
5 said first plasma film layer including a plurality of a first functional group;

inserting into said radio frequency plasma discharge apparatus a second plasma medium, said second plasma medium comprising a second compound selected from the group consisting of ammonia, unsaturated amine, primary amine, aliphatic diamine, polyalkylene polyamine, aminosilane, heterocyclic amine, nitrile,  
10 pyrrole, pyrrolidine, saturated carboxylic acid, unsaturated carboxylic acid, carboxylic ester, keto ester and mixtures thereof;

subjecting said second plasma medium to a second radio frequency electric field whereby a second plasma film layer is deposited on said substrate surface, said second plasma film layer including a plurality of a second  
15 functional group.

44. The method according to Claim 43 including the further step of continuing said sequential depositions of said first and said second plasma film layers until a predetermined plasma film network is deposited on said substrate.

45. The method according to Claim 43 wherein, said first plasma medium  
20 comprises oxygen.

46. The method according to Claim 43 wherein, said first plasma medium comprises carbon dioxide.

47. The method according to Claim 43 wherein, said first plasma medium comprises water.

25 48. The method according to Claim 43 wherein, said first plasma medium comprises a mixture of a hydrocarbon and said first compound.

49. The method according to Claim 43 wherein, said second plasma medium comprises oxygen.

30 50. The method according to Claim 43 wherein, said second plasma medium comprises carbon dioxide.

51. The method according to Claim 43 wherein, said second plasma medium comprises water.

52. The method according to Claim 43 wherein, said second plasma medium comprises a mixture of a hydrocarbon and said first compound.

5 53. The method according to Claim 43 wherein, said first plasma medium comprises a third compound selected from the group consisting of ammonia, unsaturated amine, primary amine, aliphatic diamine, polyalkylene polyamine, aminosilane, heterocyclic amine, nitrile, pyrrole, pyrrolidine and mixtures thereof.

10 54. The method according to Claim 43 wherein, said first plasma medium comprises a mixture of a hydrocarbon and said third compound.

55. The method according to Claim 53 wherein, said first functional group comprises an amine functional group.

15 56. The method according to Claim 55 wherein, said second plasma medium comprises a fourth compound selected from the group consisting of saturated carboxylic acid, unsaturated carboxylic acid, carboxylic ester, keto ester and mixtures thereof.

57. The method according to Claim 56 wherein, said second plasma medium comprises a mixture of a hydrocarbon and said fourth compound.

20 58. The method according to Claim 55 wherein, said second plasma medium comprises oxygen.

59. The method according to Claim 56 wherein, said second functional group is selected from the group consisting of carboxy, carboxylic ester, epoxy, isocyanate, hydroxy and sulfhydryl.

25 60. The method according to Claim 43 wherein, said first functional group is selected from the group consisting of carboxy, carboxylic ester, epoxy, amine, isocyanate, hydroxy and sulfhydryl.

61. The method according to Claim 43 wherein, said second functional group is selected from the group consisting of carboxy, carboxylic ester, epoxy, amine, isocyanate, hydroxy and sulfhydryl.

62. The method according to Claim 43 wherein, said second functional group is reactive with said first functional group.

63. The method according to Claim 43 wherein, said three dimensional film network includes a dual branched spatial configuration between said adjacent  
5 film layers.

64. The method according to Claim 43 wherein, said three dimensional network includes a triple branched spatial configuration between said adjacent film layers.

65. The method according to Claim 43 wherein, said three dimensional  
10 film network includes a quadruple branched spatial configuration between said adjacent film layers.

66. The method according to Claim 43 wherein, said three dimensional film network includes a heterocyclic ring spatial configuration between said adjacent film layers.

15 67. The method according to Claim 43 wherein, said three dimensional film network includes a linear chain spatial configuration between said adjacent film layers.

68. The method according to Claim 67 wherein, said linear chain includes a plurality of a third functional group selected from the group consisting of carboxy,  
20 carboxylic ester, epoxy, amine, isocyanate, hydroxy and sulfhydryl.



## INTERNATIONAL SEARCH REPORT

 International application No.  
 PCT/US96/20267

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C08F 2/48; C23C 14/28, 14/30; H05B 6/00; H05H 1/02, 1/24.

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

 U.S. : 427/488, 508, 569, 570, 595;  
 428/420, 423.1, 423.5, 424.7, 474.4, 474.7, 475.2, 520, 522.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4,693,799 A (YANAGIHARA ET AL) 15 September 1987, col. 1, lines 56-64; col. 4, lines 42-59; col. 5, line 52 to col. 6, line 5; col. 6, lines 16-25; and col. 7, lines 9-30.	1--3, 9-13, 15-17, 23-27, 29-32, 37-41, and 43-68.
A	US 4,587,329 A (TOMALIA et al) 06 May 1986, entire document	1-68
A	US 5,342,693 A (WINTERS et al) 30 August 1994, entire document.	1-68
A	US 5,449,383 A (CHATELIER et al) 12 September 1995, entire document.	1-68

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search	Date of mailing of the international search report
17 MARCH 1997	19 MAY 1997

 Name and mailing address of the ISA/US  
 Commissioner of Patents and Trademarks  
 Box PCT  
 Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

HOA THI LE

Telephone No. 703-308-2415

Form PCT/ISA/210 (second sheet)(July 1992)\*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/20267

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GOMBOTZ et al, Functionalization of Polymeric Films by Plasma Polymerization of Allyl Alcohol and Allylamine, Polymeric Materials - Science & Engineering, 1987, Vol. 56., pages 720-724.	1-68
X	SANCHEZ et al, Plasma Deposition of Copolymers And Their Permeation Characteristics, Polymeric Materials-Science & Engineering, 1987, Vol. 56, pages 792-796.	1-68
X	GRIESSER et al, Characterization of Plasma Polymer Films From Amines And Other Polar Monomers, Polymeric Materials-Science & Engineering, 1990, Vol. 62, pages 274-278.	1-68

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US96/20267

## A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

427/488, 508, 569, 570, 595;

428/420, 423.1, 423.5, 424.7, 474.4, 474.7, 475.2, 520, 522.



11/1/11